

From Cold Start to Critical: Formal Synthesis of Hybrid Controllers

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1 Goals and Outcomes - ORIGINAL

The goal of this research is to use formal methods to create high-assurance hybrid control systems. Hybrid control systems have great potential for autonomous control applications because they can switch between different control laws based on discrete triggers in the system's operating range. This approach allows autonomous controllers to use several tractable control laws optimized for different regions in the state space, rather than relying on a single controller across the entire operating range. However, the discrete transitions between control laws in hybrid controllers present significant challenges in proving stability and liveness properties for the complete system. While tools from control theory can establish properties for individual control modes, these guarantees do not generalize when mode switching is introduced. Existing temporal logic synthesis tools like Strix can generate discrete controllers from logical specifications, but they assume instantaneous mode transitions. In hybrid systems, transitions occur along continuous trajectories governed by differential equations, creating a verification gap that neither purely discrete synthesis nor traditional control theory can address alone.

This research takes a novel approach to hybrid controller synthesis and verification by bridging this gap. We will leverage formal methods to create controllers that are correct-by-construction, enabling guarantees about the complete system's behavior. To demonstrate this approach, we will develop an autonomous controller for nuclear power plant start-up procedures. Nuclear power represents an excellent test case because the continuous reactor dynamics are well-studied, while the discrete mode switching requirements are explicitly defined in regulatory procedures and operating guidelines. Current nuclear reactor control *is* already a hybrid system—many control room functions employ automated controllers for basic tasks, but the engagement and selection of these controllers relies on human operators following procedural decision-making.

The capability to create high-assurance hybrid control systems has significant potential to reduce labor costs in operating critical systems by removing human operators from routine control loops. Nuclear power stands to benefit substantially from increased controller autonomy, as operations and maintenance represent the largest expense for current reactor designs. While emerging technologies such as microreactors and small modular reactors will reduce maintenance costs through factory-manufactured replacement components, they face increased per-megawatt operating costs if required to maintain traditional staffing levels. However, if increased autonomy can be safely introduced, these economic challenges can be addressed while maintaining safety standards.

If this research is successful, we will achieve the following outcomes:

1. **Formalize mode switching requirements as logical specifications that can be synthesized into discrete controller implementations.** The discrete transitions between continuous controller modes are often explicitly defined in operating procedures and regulatory requirements for critical systems. These natural language requirements will be translated into temporal logic specifications, which will then be synthesized into provably correct discrete controllers for continuous mode switching.
2. **Categorize continuous controller modes by their strategic relevance.** Different control modes serve distinct purposes: they may be transitory (guiding the system toward a target state) or stabilizing (maintaining the system within desired operating bounds). While the discrete component handles mode switching decisions, this outcome will identify the dynamic properties that continuous components must satisfy for each controller mode.
3. **Verify that continuous controller modes satisfy dynamic requirements using appropriate analysis methods.** For linear dynamics, we will apply classical control theory to establish stability and performance within each mode. For nonlinear systems, reachability analysis will verify that transitory modes drive the system toward intended transitions while maintaining safety constraints, and that stabilizing modes maintain the system within designated operating regions.
4. **Prove that hybrid system implementations achieve strategic goals across the complete operating range.** By synthesizing discrete controller transitions from logical specifications using correct-by-construction methods and verifying that continuous components perform appropriately between discrete transitions, we can establish confidence that the hybrid system is defect-free and suitable for deployment as a critical autonomous controller.

2 Goals and Outcomes - REVISED

The goal of this research is to develop a unified framework combining temporal logic synthesis with continuous-time verification methods to create autonomous hybrid control systems with complete correctness guarantees. Hybrid control systems have great potential for autonomous control applications because they can switch between different control laws based on discrete triggers in the system's operating range. This approach allows autonomous controllers to use several tractable control laws optimized for different regions in the state space, rather than relying on a single controller across the entire operating range. But, the discrete transitions between control laws in hybrid controllers present significant challenges in proving stability and liveness properties for the complete system. While tools from control theory can establish properties for individual control modes, these guarantees do not generalize when mode switching is introduced. Conversely, significant advances in formal methods have enabled automatic synthesis of discrete controllers from temporal logic specifications—tools like Strix can generate provably correct switching logic for complex logical requirements. However, these synthesis approaches assume instantaneous mode transitions and operate purely in discrete state spaces. In hybrid systems, transitions occur along continuous trajectories governed by differential equations, creating a fundamental verification gap that neither purely discrete synthesis nor traditional control theory can address alone.

This research addresses a fundamental challenge in hybrid controller synthesis and verification by unifying discrete system synthesis with continuous system analysis. We will leverage formal methods to create controllers that are correct-by-construction, enabling guarantees about the complete system's behavior. To demonstrate this approach, we will develop an autonomous controller for nuclear power plant start-up procedures. Nuclear power represents an excellent test case because the continuous reactor dynamics are well-studied, while the discrete mode switching requirements are explicitly defined in regulatory procedures and operating guidelines. Current nuclear reactor control *is* already a hybrid system. For example, during reactor startup, operators must transition from initial cold conditions through controlled heating phases to predetermined power levels. Each phase employs different automated controllers: temperature ramp controllers during heatup, reactivity controllers approaching criticality, and load-following controllers during operation. The decision of when to switch between these controllers currently relies on human operators interpreting written procedures. Our approach would formalize such transition conditions and synthesize the switching logic automatically.

The capability to create high-assurance hybrid control systems has significant potential to reduce labor costs in operating critical systems by removing human operators from control loops. Nuclear power stands to benefit substantially from increased controller autonomy, as operations and maintenance represent the largest expense for current reactor designs. While emerging technologies such as microreactors and small modular reactors will reduce maintenance costs through factory-manufactured replacement components, they face increased per-megawatt operating costs if required to maintain traditional staffing levels. However, if increased autonomy can be safely introduced, these economic challenges can be addressed while maintaining safety standards.

If this research is successful, we will achieve the following outcomes:

1. **Formalize mode switching requirements as logical specifications that can be synthesized into discrete controller implementations.** The discrete transitions between continuous controller modes are often explicitly defined in operating procedures and regulatory requirements for critical systems. These natural language requirements will be translated into temporal logic specifications, which will then be synthesized into provably correct discrete controllers for continuous mode switching.
2. **Develop and verify formal characterizations of hybrid mode dynamics and safety conditions.** We will establish mathematical frameworks distinguishing transitory modes with reachability requirements to target states from stabilizing modes with invariant maintenance properties. For linear dynamics, classical control theory will establish stability and performance within each mode. For nonlinear systems, reachability analysis will verify that transitory modes drive the system toward intended transitions while maintaining safety constraints, and that stabilizing modes preserve their designated operating regions. This unified approach will enable provable conditions for safe state space traversal and transition timing.
3. **Prove that hybrid system implementations achieve safety and performance specifications across operational mode sequences.** By synthesizing discrete controller transitions from logical speci-

fications using correct-by-construction methods and verifying that continuous components perform appropriately between discrete transitions, we can establish mathematical guarantees that the hybrid system maintains safety constraints and meets performance requirements during autonomous operational sequences such as reactor startup procedures, where multiple control modes must be coordinated to achieve higher-level operational objectives.